Electronic Acknowledgement Receipt

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Application Number:	10828269
International Application Number:	
Confirmation Number:	5446
Title of Invention:	Risk management system and method providing rule-based evolution of a portfolio of instruments
First Named Inventor/Applicant Name:	Jim Degraaf
Customer Number:	1059
Filer:	Kendrick Lo./Gabriella Recchia
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	Transmittal.pdf	128235 deed20547bb0b03b4a2d136ff24b66a4 7191b86b	no	1

Warnings:

Information:

| 2 | Amendment - After Non-Final Rejection | Amendment.pdf | 951757 | no | 14 |
| | | | 214bf4edbf9839a92f155e4cc2630d4a3ff32e21 | | |

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Information:

| 3 | Information Disclosure Statement Letter | USPTO_Letter.pdf | 91231 | no | 1 |
| | | | 43502a34ee127540ae7eaf719163cc9728ff9e6b | | |

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| 4 | Information Disclosure Statement (IDS) Filed | IDS.pdf | 66286 | no | 1 |
| | | | 50631a684e3c00922ed218ca6e46b23310fcfd91 | | |

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| 5 | NPL Documents | Algo99.pdf | 1264057 | no | 53 |
| | | | 4e3be14d390987e197575c133dd430f95a51e5fd | | |

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Information:

| 6 | NPL Documents | Riskwatch_Manual.pdf | 3569286 | no | 290 |
| | | | a61d40a0bbe6ce0e529594702baf9d6edcdeb812 | | |

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